

## 服務收費表 Service Charges

證券交易服務 Trade-related Services	
經紀佣金 Brokerage Commission	成交金額之 0.05% (最低收費港幣 50 元) 0.03% on transaction amount (Min. Charge HK\$50 per transaction)
政府印花稅 Stamp Duty	交易金額的 0.13% (不足 1 元亦作 1 元計算) 0.1% of transaction amount (Rounded up to the nearest dollar)
交易徵費 Transaction Levy	證監會交易徵費, 成交金額之 0.0027% SFC transaction levy of transaction amount 0.0027%
交易費 Trading Fee	聯交所交易費, 成交金額之 0.005% SEHK trading fee of transaction amount 0.005%
中央結算費用 CCASS Fee	0.002% (最低收費港幣 5 元 / 最高收費港幣 500 元) 0.002% of transaction amount (Minimum HK\$5, Maximum HK\$500)
交易系統使用費 Trading Tariff Fee	每單收費港幣 15 元 HK\$15 for each transaction
股票處理及交收服務 Scrip Handling and Settlement-related Services	
存入實物股票費 Physical Scrip Deposit Fee	每張股票/ 每張轉手紙收取港幣 5 元 per certificate/ for each transfer deed
提取實物股票費 Physical Scrip Withdrawal Fee	每手港幣 6 元(每隻股票最低收費港幣 50 元) HK\$6 per board lot (Min charge HKD50 per stock)
轉手紙釐印費 Transfer Deed Stamp Duty	每張股票/ 每張轉手紙港幣 5 元 HK\$5 per certificate/ for each transfer deed
交收指示 (適用於轉出股及轉倉) Settlement Instruction (SI) (Transfer out of shares)	每手港幣 6 元(最低收費港幣 50 元 / 最高收費港幣 500 元) HK\$6 per board lot (Minimum HK\$50, Maximum HK\$500)
投資者交收指示 (ISI) Investor Settlement Instruction Fee (ISI)	每一交收指示收取手續費港幣 5 元 HK\$5 per transaction
股票託管費 Custodian Fee	免費 Free of Charge
股份戶口獨立結單 Stock Segregated Account (with CCASS Statement) Service Fee	年費港幣 240 元; 及每單收費港幣 10 元 HK\$240 Per Year, plus Transaction Fee HK\$10 Per Stock Transfer Instruction
強制性補購股份費 Compulsory Share Buy-back Fee (Default Fee)	交收日市值的 0.5% (最高收費港幣 100,000 元) 0.5% on market value of settlement date (maximum HK\$100,000)
代理人服務及企業行動 Nominee Services and Corporate Activities	
登記過戶費(在截止過戶日期) Scrip Fee (Book Close)	每手港幣 1.5 元 HK\$1.5 per board lot
代收現金股息費或以股代息費 Collection Fee of Cash Dividend or Scrip Dividend	按按股息金額之 0.2%計算 (最低收費港幣 20 元) 0.2% on dividend payment (minimum HK\$10)
代收紅股費 Collection fee of Bonus Issue	每單收費港幣 20 元 HK\$20 for each transaction
代收牛熊證剩餘價值/行使備兌認股證費 Callable bull/bear contracts residual value/ Exercising covered warrants	每單收費港幣 100 元 HK\$100 per application
行使認股權證 Warrant Exercise Fee	每單收費港幣 100 元 HK\$100 per application
代客行使供股權費 Subscription for Rights Issue	每手港幣 10 元 HK\$10 per board lot
申請額外供股權費 Excess subscription for Rights Issue	每手港幣 10 元 HK\$10 per board lot

處理股票拆細、合併、公司投票費 Share Split/ Consolidation/ Voting	豁免 Waived
公司私有化、收購等 Privatization/ Share offer	每手港幣 10 元 HK\$10 per board lot
在股份登記處辦理轉名費 Transfer of share ownership with share registrar	每張港幣 10 元, 最低收費港幣 50 元 HK\$10 Per share Scrip (minimum HK\$50)
處理追收未領取權益 Handling of Unclaimed Benefits Entitlement	追收一期港幣 800 元, 另每加追一期港幣 200 元 HK\$800 for Initial Claim, HK\$200 for Each Subsequent Claim
政府債券贖回 Redemption Fee of Hong Kong Government Bond	每單收費港幣 60 元 HK\$60 per application
<b>新股認購服務 IPO Subscription Services</b>	
認購新股手續費 (經中央結算系統) IPO Subscription Handling Fee (via CCASS)	港幣 50 元 HK\$50
認購新股手續費 (暗盤) IPO Subscription Handling Fee (Grey Market Price)	成交金額之0.03% (最低收費港幣 100 元) 0.03% on transaction amount (Min. charge HK\$100 per transaction)
認購新股手續費 (貸款認購) MIPO Subscription Handling Fee (Margin Finance)	港幣 100 元 HK\$100
認購新股的借貸利息收費 MIPO Subscription Financing Interest	因應市場利率而定 According to prevailing market rate
<b>其他賬戶服務 Other Account Services</b>	
郵寄日月結單 Mail Statement	每月港幣 100 元 HK\$100 per month
電子日月結單 E-Statement	免費 Free of Charge
不動戶口服務費 Dormant Account Fee	豁免 Waived
重印日結單/ 月結單 Re-print Daily/ Monthly Statement	a. 於3個月以內可免費重印 Waived if statement date is within 3 months b. 超過 3 個月每份港幣 100 元 HK\$100 if statement date is over 3 months c. 超過 1 年每份港幣 200 元 HK\$200 for required month beyond a period of 12 month
證明信/ 審核確認 Reference Letter/ Audit Confirmation	每封信港幣 300 元 HK\$300 per letter
退票費用 Return Cheque	每次港幣 100 元 HK\$100 per transaction
翻查支票紀錄 To Consult Cheque Record	每單港幣 100 元 HK\$100 per case
翻查派息紀錄 To Consult Dividend Record	每期港幣 100 元 HK\$100 per period
電滙費 Telegraphic Transfer	每次港幣 100 元+ 銀行收費 HK\$100 + Bank Charge
終止支票付款 Stop Cheque Payment	每張港幣 120 元 HK\$120 per transaction
保證金客戶過期利息 Margin Account Overdue Interest	最優惠利率 + 6% Prime (quoted by BOC) + 6%
現金客戶過期利息 Cash Account Overdue Interest	最優惠利率 + 6% Prime (quoted by BOC) + 6%

以上收費僅供參考, 本公司可隨時修訂而不另行通知。客戶如有任何查詢, 請聯絡本公司客戶主任。

The Charges above are for reference only. Charges are subject to change by the Company at any time without prior notice. Please contact your account Executive.