

## 香港證券交收指示表格

### Hong Kong Securities Settlement Instruction Form (SI/ISI)

帳戶號碼 Account No.: \_\_\_\_\_ 帳戶名稱 Account Name: \_\_\_\_\_

交收指示 Settlement Instructions				
交收日期 Settlement Date:				
交收方法 Settlement Method:		<input type="checkbox"/> 股票交收指示 SI <input type="checkbox"/> 投資者交收指示 ISI		
指示類別 Instruction Type:		<input type="checkbox"/> 存入證券 Receive Stocks <input type="checkbox"/> 提取證券 Deliver Stocks		
交收對手資料 Counterparty details				
參與者編號 Participant ID:				
名稱 Name :				
聯絡人 Contact Person:				
聯絡電話 Contact Phone Number:				
收款/付款指示 Payment Instruction:  如客戶沒有選擇右方指示，將以“無需付款交收”執行是次指示。  Free of Payment will be the default payment instruction if neither choice in the right box is selected.		請選擇其中一項 Please select ONE of the choices  <input type="checkbox"/> 無需付款交收 Free of Payment <input type="checkbox"/> 貨銀對付交收 Delivery/ Receipt Versus Payment (DVP/RVP) <input type="checkbox"/> 即時貨銀對付 Real Time Delivery / Receipt Versus Payment  交收金額 Settlement Amount: \$ _____		
證券資料 Stocks Details				
證券編號 Stock Code:	證券名稱 Stock Name:	證券數量 Stock Quantity:	交收銀碼 Settlement Amount:	收費 Handling Fee:
1.				\$
2.				\$
3.				\$
4.				\$
5.				\$
總數 Total				\$

客戶簽署 Client Signature: \_\_\_\_\_ 日期 Date: \_\_\_\_\_

Received by	Inputted by	Checked by	Approved by